Multiple Principal Investigator or Project Director Leadership Plan template.

To use this template, click on the fields below and begin typing. When you begin typing, the original, gray highlighted text will automatically delete, except for fields noted as EXAMPLE. For these fields, such as the Example of a Communication Plan below, you can right click on the text and then click on “Remove Content Control” to keep the original text and edit as necessary. You can also feel free to adopt some of the language presented or to simply view this as an example and write your own. Other examples of leadership plans are available on the Multiple PI website at <https://grants.nih.gov/grants/multi_pi/index.htm>.

**Multiple Principal Investigator Leadership Plan:**

The governance and organizational structure of this research project are described below. The plan includes the process for communication, process for making decisions on scientific direction, allocation of resources, publications, intellectual property issues, and procedures for resolving conflicts.  The roles and administrative, technical, and scientific responsibilities for the project or program are delineated for the PIs, including responsibilities for ***human subjects or animal studies***.

**Rationale**: The goals of this application will be most effectively and efficiently met with a multiple PI plan. ***Drs. XX and XX*** are recognized leaders in their fields and are excellent choices to ensure that the goals of the project are carried out in the most effective manner possible.

**Governance and Organization Structure:** ***Drs. XX and XX*** will share leadership equally for the Program Project and each will also assume responsibility for specific organizational functions. Specifically, ***Dr. XX*** will serve as the Contact / Corresponding PI during the project.

***Dr. XX1 will do and/or oversee the following tasks.***

***Dr. XX2 will do and/or oversee the following tasks.***

Together, ***Drs. XX and XX*** will oversee the preparation of the annual progress reports and other communications with the funding organization.

PIs will work together to manage policy issues, in consultation with the Steering Committee, and to communicate with deans and other administrators to promote the success of the program.

**Communication Plan**: While the PIs interact frequently in informal ways (at seminars, in other joint activities, and as colleagues and friends), they will also institute formal monthly Skype meetings specific to this leadership plan. The PIs also plan to communicate frequently by e-mail, phone, and in person as issues arise that must be addressed promptly and also to review the success of initiatives and make programmatic adjustments as needed.

In addition, the Steering Committee, comprised of ***XXXX*** will meet at ***frequency***, or more frequently if necessary, to consider administrative and programmatic activities, as well as research progress and to advise the PD’s on major decisions.

**Conflict Resolution:** Conflicts will be resolved first through joint meetings between the PIs ***who will be part of the meeting***. If a satisfactory resolution cannot be reached, the PIs will meet with the Program Project Steering Committee and attempt to settle any dispute, claim, or controversy that arises. However, if this committee fails to resolve the disagreement within thirty business days, then such disagreement shall be referred for resolution to a designated senior executive of the parties who has the authority to settle the disagreement, but who is not directly involved in the disagreement. OR - If a potential conflict develops, the PIs shall meet and attempt to resolve the dispute. If the PIs are unable to resolve the dispute, the appropriate administrative officials of the institutions will meet to come to a mutually satisfactory resolution.

**Process for Making Decisions on Scientific Direction**: Scientific decisions by ***Drs. XX and XX*** will be centered around the Steering Committee. ***Drs. XX and XX*** will review recommendations from the Steering Committee as well as all relevant data available to reach informed decisions.

**Distribution/Allocation of Resources**: To the extent possible, the distribution of resources will be evenly divided between the two PI’s in line with the methods indicated in the application.

**Publications:** Publication decisions will be made jointly by the PIs, consistent with their respective institutional and NIH policies.

**Intellectual Property:** The respective research administration offices of the institutions will be responsible for preparing and negotiating an agreement for the conduct of the research and disposition of any resulting intellectual property. The institutions will enter into an Interinstitutional Agreement related to jointly developed intellectual property, consistent with their respective institutional policies and NIH policy.

OR

The PIs will grant necessary access rights to the pre-existing patents and or the patents

potentially generated within the frame of this project for the purpose of this research

project to all the other PIs and key personnel on a non-exclusive royalty-free basis. Each

PI shall take appropriate measures to ensure that he/she can grant these access rights.

Right in any pre-existing intellectual property will remain the property of the party that

created and/or controls it.

**Fiscal Responsibility:** Each PI will be responsible for management of funds for his or her portion of the project, consistent with institutional and NIH policy. Any significant changes in distribution of funds between the institutions will be decided jointly by the PIs and their respective institutions.

**Change in PI Location**: If either PI move to another institution, all possible attempts will be made to transfer the relevant portion of the grant to the new institution. In the event that a PI cannot carry out his/her duties or if the PI moves to an institution that is not eligible to receive NIH funding, the participating institutions will work with the remaining PI in an attempt to identify an individual to assume those duties.